

Patent
Case No.: 56353US002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: CARPENTER, BARRY S.

Application No.: 09/912147

Group Art Unit: 2839

Filed: July 24, 2001

Examiner: Michael Zarroli

Title: TOOLING FIXTURE FOR PACKAGED OPTICAL MICRO-MECHANICAL
DEVICES

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:	
Jan. 30, 2004	
Date	Signed by: Angie Zontelli

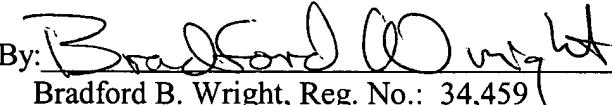
Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. A copy of each cited reference is also enclosed. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

A Request for Continued Examination under 37 CFR § 1.114 is being filed concurrently, thus it is believed that no fee is due for this Information Disclosure Statement.

Respectfully submitted,

Jan. 30, 2004
Date

By: 
Bradford B. Wright, Reg. No.: 34,459
Telephone No.: (651) 736-4172

Office of Intellectual Property Counsel
3M Innovative Properties Company
Facsimile No.: 651-736-3833

Substitute for form 1449A/PTO (modified)

Application Number 09/912147

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

Filing Date July 24, 2001

First Named Inventor Carpenter, Barry S.

Art Unit 2839

Examiner Name Michael Zarroli

Attorney Case Number 56353US002

FEB 02 2001 (Use as many sheets as necessary)

Page 1 of 1

U.S. Patent Documents

Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US- 4,932,745	06-12-2990	Blonder	
	A2	US- 5,208,880	05-04-1993	Riza et al.	
	A3	US- 6,154,305	11-28-2000	Dickensheets et al.	
	A4	US- 2002/0097951 A1	07-25-2002	Mortenson et al.	
	A5	US- 2002/0164123 A1	11-07-2002	Hamerly	
	A6	US- 2003/0012487 A1	01-16-2003	Hamerly et al.	
	A7	US-			
	A8	US-			
	A9	US-			

Foreign Patent Documents

Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (If known)				
	B1	WO	02/237161 A2	05-20-2002	Weaver et al.		
	B2						
	B3						
	B4						
	B5						
	B6						
	B7						

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	C1	Huang et al., "MEMS Packaging for Micro Mirror Switches", 1998 Electronic Components and Technology Conference, IEEE, 1998, pp. 592-597
	C2	Lee et al., "Free-Space Fiber-Optic Switches Based on MEMS Vertical Torsion Mirrors", Journal of Lightwave Technology, Vol. 17, No. 1, January 1999, pp. 7-13
	C3	

*Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.